



Attorney Docket No. 0756-7215

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toru TAKAYAMA et al.

Serial No. 10/697,941

Filed: October 31, 2003

For: SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD  
THEREOF

) Group Art Unit:

) Examiner:

) CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents

P.O. Box 1450

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Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

U.S. Patent Nos. 6,312,795 and 6,436,520 are in the family of EP 1 081 721.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits; therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/697,941
				Filing Date	October 31, 2003
				First Named Inventor	Toru TAKAYAMA et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	0756-7215

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup> (if known)				
		EP	1 081 721		03/07/2001		Eng.
		JP	10-256576		09/25/1998		Abst.

#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Preliminary Examination Report, Application No. PCT/JP03/13553, dated March 30, 2004.	Partial

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.